

LOUISIANA BOARD OF ETHICS
MINUTES
November 20, 2015

The Board of Ethics met on November 20, 2015 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Blewer, Bruneau, Ingrassia, Larzelere, Lavastida, Leggio, McAnelly, Michiels, Monroe, Shaddock and Shelton. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Mike Dupree, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

Ms. Ann Wise, Director of the Division of Administrative Law, appeared before the Board in connection with the selection of an alternate administrative law judge (ALJ) to serve on Panel A of the Ethics Adjudicatory Board (EAB). Ms. Wise submitted a paper list of names of potential ALJs which were individually torn, folded and randomly drawn from a bowl by the Board's Executive Secretary, Deborah Grier. Following the drawing, Ms. Wise announced that Norlisha Burke will serve as the alternate judge.

The Board considered a request for reconsideration in Docket No. 15-494 for a waiver of the \$1,300 late fee assessed against Whitney Laird (formerly Whitney Kling) for filing her R.S. 42:1114 disclosure affidavit 13 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred the matter until later in the meeting.

Mr. Ema Haq, a member of the Lafayette Convention and Visitors Commission, appeared before the Board in connection with a request in Docket No. 15-809 for a waiver of the \$800 late fee assessed against him for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 16 days late. After hearing from Mr. Haq, on motion made, seconded and unanimously passed, the

Board declined to waive the \$800 late fee but suspended \$600 conditioned upon future compliance with the Code of Governmental Ethics.

Mr. Gary Alvarez, a Louisiana Certified General Real Estate Appraiser, appeared before the Board in connection with a request for an advisory opinion in Docket No. 15-919 regarding whether he can continue to provide contractual appraisal services to Leonard E. Pauley, Jr. if he accepts employment with the Department of Transportation and Development (DOTD), Section 23, Real Estate as a Review Appraiser 4. After hearing from Mr. Alvarez, on motion made, seconded and unanimously passed, the Board concluded that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Mr. Alvarez from receiving any thing of economic value for the provision of services to Leonard Pauley, Jr. while he has or is seeking to have a contractual, or other business, or financial relationship with Mr. Alvarez's agency, Section 23 of the Real Estate Department of the DOTD.

The Board considered a request for reconsideration in Docket No. 15-494 for a waiver of the \$1,300 late fee assessed against Whitney Laird (formerly Whitney Kling) for filing her R.S. 42:1114 disclosure affidavit 13 days late. On motion made, seconded and unanimously passed, the Board deferred the matter to the December meeting.

Mr. R. Gray Sexton and Ms. Alesia Ardoin, attorneys for Bobby Jelks, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a public hearing in Docket No. 15-972 to consider a declaratory opinion request regarding the time limits in which a person may make contributions in connection with a general election. After hearing from Mr. Sexton, Ms. Ardoin and receiving sworn testimony from witnesses, on motion made, seconded and passed by a vote of 9 yeas by Board Members Bruneau, Ingrassia, Larzelere,

Lavastida, Leggio, Michiels, Monroe, Shaddock and Shelton and 2 nays by Board Members Blewer and McAnelly, the Board instructed the staff to prepare a draft of the declaratory opinion for the Board's review which affirms the board's current interpretation of the statute.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G7-G24 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G7-G24 taking the following action:

Adopted an advisory opinion in Docket No. 15-896 concluding that Section 1111E(1) of the Code of Governmental Ethics would prohibit Darrell McMullen, an employee of Gang 760 within District 5 of the Department of Transportation and Development (DOTD), from testing employees of the DOTD, District 5 for commercial driver's license (CDL) while being employed by Gang 760 within District 5, since employees within District 5 are reimbursed for their CDL testing by DOTD and Mr. McMullen would be prohibited from receiving any thing of economic value for assisting any employees within District 5 in CDL testing.

Declined to render an advisory opinion in Docket No. 15-904 regarding the propriety of immediate family members serving on an appointed parish board or commission and elected officials receiving compensation for service on an appointed parish board or commission in St. Landry Parish, since the request involved past conduct and failed to provide sufficient information.

Adopted an advisory opinion in Docket No. 15-906 concluding that, based upon the specific circumstances presented, the Code of Governmental Ethics would not prohibit the processes of the automatic deductions for Assumption Parish School Board employees enrolled in the Ameriprise

403B plans and that Ameriprise may maintain its status as an Assumption Parish School Board Provider of a 403B plan as long as the plan is not reviewed or the terms changed. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Lee Meyer, a member of the Assumption Parish School Board and father of the Ameriprise agent, from participating in any matter regarding Ameriprise. Also, Mr. Thomas Lee will be required to file an annual disclosure statement with the Board pursuant to Section 1114 of the Code of Governmental Ethics.

Due to lack of subject matter jurisdiction, declined to render an advisory opinion in Docket No. 15-998 regarding the application of the dual office holding and dual employment prohibitions to Ben Otwell, newly elected member of the Catahoula Parish Police Jury, and whether he may maintain his employment with the Concordia Parish Sheriff's Office.

Adopted an advisory opinion in Docket No. 15-999 concluding that no violation of the Code of Governmental Ethics is presented by Paul Carroll working on the Schneider Canal Levee Project, as an employee of Picciola & Associates, within two years of the termination of his employment with St. Tammany Parish Department of Public Works, as long as Mr. Carroll does not render any service, which he rendered during his public employment, to, for, or on behalf of the Department. However, he may not assist in any segment of the Project that he worked on while he was employed with the Parish, before September 12, 2017.

Adopted an advisory opinion in Docket No. 15-1001 concluding that no violation of the Code of Governmental Ethics is presented by the Acadia Parish Police Jury appointing Michael Habetz, who holds a full-time position with the Sixth Ward Crowley Drainage District and a part-time position on the board of the Iota Long Point Gravity Drainage District, as a part-time board member

of the Acadia Parish Planning Committee, since the appointment would be made by the Acadia Parish Police Jury, rather than Mr. Habetz's agencies, the Sixth Ward Crowley Drainage District and the Iota Long Point Gravity Drainage District. The Board further recommended that the Acadia Parish Police Jury contact the Attorney General's Office to determine if there are any dual-office holding issues with the potential appointment.

Adopted an advisory opinion in Docket No. 15-1004 concluding that the provisions in the Code of Governmental Ethics do not address the request regarding whether the Town of Welsh is prohibited from leaving a home owner the dirt from their ditch after town employees cleared the ditch for drainage purposes.

Approved a disqualification plan submitted in Docket No. 15-1007 in connection with the hiring of immediate family members of Isaac Joseph, Superintendent of the Jefferson Parish School System.

Adopted an advisory opinion in Docket No. 15-1010 concluding that Section 1111A of the Code of Governmental Ethics would prohibit Jennifer Jones, Cameron Parish District Attorney, from utilizing Westlaw services paid for by the District Attorney's Office in her private law practice and from using public funds to purchase "pocket parts" for her private law library if they are not part of the compensation or benefit to which she is duly entitled as the District Attorney in accordance with Section 1111A of the Code of Governmental Ethics. The Board further advised that any questions Ms. Jones may have regarding the appropriate use of public funds should be referred to the Attorney General's Office.

Adopted an advisory opinion in Docket No. 15-1011 concluding that there are no provisions within the Code of Governmental Ethics that would require the recusal in matters involving the

Terrebonne Children's Advocacy Center (Center) or Victim's Assistance Program (Program) within 32nd Judicial District by the District Attorney's Office and/or Judge Juan Pickett considering that Bernadette Pickett, an assistant district attorney, is the spouse of Judge Pickett, the director of the Center and a supervisor of the Program. The Board further advised that the question as to Judge Pickett's recusal in these cases should be directed to the Louisiana Supreme Court Judiciary Commission regarding the Code of Judicial Conduct and, with respect to recusal required by attorneys, District Attorney Waitz should consult the Louisiana Rules of Professional Conduct.

Adopted an advisory opinion in Docket No. 15-1028 concluding that no violation of the Code of Governmental Ethics is presented by Jennifer Laska continuing her employment with the Tangipahoa Parish Library after marrying the son of Barry Bradford, the Director of the Tangipahoa Parish Library, since Ms. Laska has been employed with the library from more than one year prior to marrying Mr. Bradford's son. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Mr. Bradford from participating in any matter in which Ms. Laska has a substantial economic interest. Furthermore, a disqualification plan that completely removes Mr. Bradford from any responsibility regarding Ms. Laska's employment must be submitted to the Board for approval.

Adopted an advisory opinion in Docket No. 15-1030 concluding that, based upon the facts as presented, no violation of the Code of Governmental Ethics is presented by Tim McCann, Chairman of the City of Patterson Planning and Zoning Commission, or his company, St. Mary Realty, from purchasing surplus property, since the Planning and Zoning Commission never had any supervision or jurisdiction over the surplus property.

Reaffirmed a previously rendered advisory opinion in Docket No. 15-1035 concluding that

no violation of the Code of Governmental Ethics is presented by Roy Mardis, a member of the Claiborne Parish Police Jury, continuing his employment with the Claiborne Parish Detention Center, which is owned by the Claiborne Parish Sheriff's Office, since the Claiborne Parish Sheriff's Office is a separate agency.

Adopted an advisory opinion in Docket No. 15-1041 concluding that Section 1119B of the Code of Governmental Ethics prohibits Tyler Turner, the brother of Ascension Parish Councilman Travis Turner, from being employed as the Recreation Director or Assistant Recreation Director for Ascension Parish, since the Ascension Parish Council is the governing authority for Ascension Parish as defined by Section 1102(11) of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 15-1042 concluding that Section 1121B of the Code of Governmental Ethics prohibits Sandra Jones, a former Procurement Management Analyst with the Financial Affairs Office of the Southern University Law Center, and her company, Lawson Business Products, from selling products that she was formerly involved in purchasing for the Southern University Law Center to the Southern University Law Center for a period of two years from August 14, 2015. The Board further advised that Ms. Jones is not prohibited from selling any products to any other state agency.

Adopted an advisory opinion in Docket No. 15-1086 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Gabriel Virdure, as a member of the Vieux Carre Commission, from being employed with Trapolin Peer Architects at a time when Trapolin has a project in the French Quarter that is regulated by the Commission.

Adopted an advisory opinion in Docket No. 15-1087 concluding that no violation of the Code of Governmental Ethics is presented by Camile J. Punch providing IT services, either individually

or through a business or public agency for which he is employed, to St. Mary Parish Governmental following his retirement from St. Mary Parish Government's Purchasing Department, since Mr. Punch will not be providing the same services under contract to St. Mary Parish and neither he nor a business or public agency that he is employed by will be assisting another person for compensation in transactions in which he participated while employed with St. Mary Parish.

Adopted an advisory opinion in Docket No. 15-1150 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit John E. Atkins, a newly elected member of the Caddo Parish Commission, from continuing to provide compensated services to Argent Financial Group, Inc., when its wholly owned subsidiary, Argent Trust Company, N.A., serves as the Caddo Parish Commission's custodian of a portion of its surplus funds.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G25-G31 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G25-G31, excluding Items G25 and G31, taking the following action:

In connection with an Answer filed in Docket No. 15-1112 by Linda L. Taylor, a former member of the St. Landry Tourist Commission, regarding her failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Ms. Taylor that she has 7 business days to file the required Tier 2.1 personal financial disclosure statement.

In connection with an Answer filed in Docket No. 15-1113 by Leonard E. Yokum, Jr., a former member of the Hammond Airport Authority, regarding his failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. Yokum that he has

7 business days to file the required 2014 Tier 2.1 personal financial disclosure statement and that he is also required to file a 2015 Tier 2.1 personal financial disclosure statement.

In connection with an Answer filed in Docket No. 15-1114 by Beverly Williams, a former member of the Jefferson Parish Retirement System, regarding her failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Ms. Williams that she has 7 business days to file the required Tier 2.1 personal financial disclosure statement.

In connection with an Answer filed in Docket No. 15-1115 by Rubby W. Douglas, a former member of the Florida Parishes Human Service Authority, regarding her failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Ms. Douglas that she has 7 business days to file the required Tier 2.1 personal financial disclosure statement.

In connection with an Answer filed in Docket No. 15-1116 by John Maggiore, a member of the Stonebridge Property Owners Association, regarding his failure to file 2013 and 2014 Tier 2.1 Annual personal financial disclosure statements, instructed the staff to advise Mr. Maggiore that he has 7 business days to file the required 2013 and 2014 Tier 2.1 personal financial disclosure statements.

In connection with an Answer filed in Docket No. 15-1110 by George O. McConathy, a former member of the Sabine Soil and Water Conservation District, regarding his failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. McConathy that he is required to file the 2014 Personal Financial Disclosure statement, since he was a member of the Sabine Soil and Water Conservation District during the 2014 calendar year.

In connection with an Answer filed in Docket No. 15-1117 by Huntington Park Subdivision Improvement District President Sterling Williams, on behalf of Joseph Theard, regarding Mr.

Thread's failure to file a 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. Theard that he is required to file the 2014 Personal Financial Disclosure statement, since he was a member of the Huntington Park Subdivision Improvement District during the 2014 calendar year.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the October 15-16, 2015 meetings.

The Board considered a proposed consent opinion in Docket No. 11-1049B regarding the employment of Burt Leonard by the Southwest Avoyelles Waterworks District at a time when his father-in-law, P.T. Palermo, was employed as the director of the District. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Burt Leonard agrees that a violation of Section 1119 of the Code of Governmental Ethics occurred by virtue of his employment with the Southwest Avoyelles Water System at a time when his father-in-law, P.T. Frank Palermo, served as the director and agency head of the Southwest Avoyelles Water System and in which Mr. Leonard agrees to pay a fine of \$500.

The Board considered a proposed consent opinion in Docket No. 11-1611 regarding the failure by Kevin Guidry, a member of the Calcasieu Parish Police Jury, to file a disclosure. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Kevin Guidry, a member of the Calcasieu Parish Police Jury, agrees that a violation of R.S. 26:96A(4)(a) occurred by virtue of his failure to file a supplemental notice with the Louisiana Board of Ethics within thirty days of the change of his employment from the Isle of Capri Casino, Inc. to his employment with L'Auberge du Lac Casino and by virtue of his failure to file a notice

with the Louisiana Board of Ethics notifying it of his employment with a gaming licensee within five days of qualifying for the October 22, 2011 election for the Calcasieu Parish Police Jury and in which Mr. Guidry agrees to pay a fine of \$1,000 and that in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

The Board considered a proposed consent opinion in Docket No. 14-178 regarding Michaelle Duncan, a Randolph Sheppard Management Analyst with the Louisiana Workforce Commission, contracting with her father's company, Wall to Wall Plumbing, to perform work for the Commission. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Michaelle Duncan agrees that a violation of Section 1112B(1) of the Code of Governmental Ethics occurred by contracting with her father's company, Wall to Wall Plumbing, to perform work for the Commission and in which Ms. Duncan agrees to pay a fine of \$3,000 and that in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

The Board considered a proposed consent opinion in Docket No. 14-188 regarding Rina Tikia of Tikia Consulting Group, Inc., giving Saints tickets to Mark Cockerham, a member of the Lafayette Parish School Board while Tikia Consulting Group was seeking a contractual relationship with the School Board. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Rina Tikia and Tikia Consulting Group, Inc., of which Rina Tikia is 100% owner, agrees that a violation of Section 1117 of the Code of Governmental Ethics occurred by giving Mark Cockerham, while he served as a member of the Lafayette Parish School Board, a gift of two (2) admission tickets to the November 17, 2013 New Orleans Saints vs. San Francisco 49ers game at a time when Tikia Consulting Group, Inc. had or was seeking a contractual

and business relationship with the Lafayette Parish School Board and in which Ms. Tikia and Tikia Consulting Group, Inc. agree to pay a fine of \$1,000.

The Board considered a proposed consent opinion in Docket No. 14-677 regarding George Tosson, former CFO of Catahoula Parish Sheriff's Office, giving himself two unapproved raises and contracting with his agency within two years of the termination of his public service. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which George Tosson, former CFO of the Catahoula Parish Sheriff's Office, and his company, Tosson Investment Properties, Inc., agree that a violation of Sections 1111A, 1112A and 1121A and C of the Code of Governmental Ethics occurred by Mr. Tosson participating in awarding himself two unauthorized raises in 2013 and by Mr. Tosson's receipt of \$14,438 in agency funds to which he was not duly entitled from his agency as a result of the two unauthorized pay raises in 2013 and by Tosson Investment Properties, Inc. contracting with Mr. Tosson's former agency, the Catahoula Parish Sheriff's Office, the day after he terminated his public service to have Mr. Tosson assist the Sheriff's Office by providing CFO services to his former agency and in which Mr. Tosson and Tosson Investment Properties, Inc. agree to pay a fine of \$2,500.

The Board considered a proposed consent opinion in Docket No. 14-1042 regarding the employment of Victor Lewis at J.S. Clark Leadership Academy while his wife, Tiffanie Lewis, serves as the chief executive officer of Outreach Community Development Corporation, Inc., a nonprofit corporation holding the charter of J.S. Clark Leadership Academy. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Tiffanie Lewis agrees that a violation of Section 1112B(1) of the Code of Governmental Ethics occurred by her participation in the hiring of her husband, Victor Lewis, as Dean of Students of J.S.

Clark Leadership Academy for the period commencing July 9, 2012 and terminating on June 30, 2014; as the Principal of J.S. Clark Leadership Academy for the period commencing July 29, 2013 and terminating on June 30, 2014; and, by issuing an offer of employment to Victor Lewis on behalf of the Outreach Community Development Corporation, Inc. (OCDC) for the position of 21st Century Program Coordinator and World Geography Teacher effective upon his acceptance on August 19, 2014 and in which Ms. Lewis agrees to pay a fine of \$1,000.

The Board considered a request in Docket No. 14-1468 for a waiver of the \$2,500 late fee assessed against Henry Maitre, III, Constable for St. Bernard Parish, Ward E, for filing his 2013 Tier 2 Annual personal financial disclosure statement 41 days late. No action was required by the Board since the staff obtained information that the population of Ward E is 3,932 and, as such, Mr. Maitre is required to file a Tier 3 Annual personal financial disclosure statement rather than a Tier 2 Annual personal financial disclosure statement. The staff rescinded the \$2,500 late fee in connection with the late filing and Mr. Maitre will have seven (7) business days to amend his 2013 and 2014 personal financial disclosure statements without penalty.

Chairman Monroe vacated the Chair. Vice Chairman Blewer assumed the Chair.

The Board considered a request for an advisory opinion in Docket No. 15-1029 regarding whether D'Arbonne Woods Charter School may continue to employ Cullen Smith, the son-in-law of a member of the Board of Directors, James W. Orten. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics is presented by Cullen Smith's promotion to the position of supervisor with on-line teaching duties, since Mr. Smith was previously employed as a certified classroom teacher and meets all of the requirements for the supervisor position. The Board further advised that Section 1112B(1) of the

Code of Governmental Ethics would prohibit James W. Orten from participating in the supervision and management of Cullen Smith's employment with D'Arbonne Woods Charter School.

The Board considered a request for an advisory opinion in Docket No. 15-1152 regarding whether Senator Jonathan Perry, Representative Mike Johnson and Representative Alan Seabaugh may receive complimentary travel and lodging to attend an education trip to Israel from the American Israel Education Foundation. On motion made, seconded and unanimously passed, the Board concluded that Section 1111A of the Code of Governmental Ethics would prohibit Senator Jonathan Perry, Representative Mike Johnson and Representative Alan Seabaugh from receiving complimentary travel and lodging to attend an education trip to Israel from the American Israel Education Foundation, since it is not part of Senator Jonathan Perry's, Representative Mike Johnson's and Representative Alan Seabaugh's job duties and responsibilities as members of the Louisiana Legislature to attend educational seminars on the relationship between the United States and Israel. The Board further advised that since the travel is not within the U.S. or Canada, the exception in Section 1123(41) of the Code of Governmental Ethics is not applicable.

Board Chairman Monroe resumed the Chair.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against candidates included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 15-1012 and 15-1090, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 14-1431 from Redell Comeaux Miller of a \$440 late fee;
Docket No. 15-1046 from Tracey Flemings-Davillier of a \$1,000 late fee; and,
Docket No. 15-1091 from Chris Davis of a \$40 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-289 for a waiver of the \$200 late fee assessed against Bobby Joe Cooper, a candidate for Councilman/District A, City of Shreveport, Bossier & Caddo Parish in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report 5 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$200 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-704 for a waiver of the \$400 late fee assessed against Brad Rummel, a candidate for Slidell City Council, Council-at- Large, in the April 5, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 16 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1091 for a waiver of the \$400 late fee assessed against Chris Davis, a candidate for Denham Springs City Council, in the November 4, 2014 election, for filing his EDE-P campaign finance disclosure report 33 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 but suspended \$300 conditioned upon future compliance with the Campaign Finance Disclosure Act and payment of the \$100 for the EDE-

P report), the unpaid \$80 (for the 40-G report) and the \$40 (for the EDE-G report) is received within 30 days.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1092 for a waiver of the \$1,000 late fee assessed against Mary Harris, a candidate for BESE, in the October 24, 2015 election, for filing her 180-P report 10 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,000 late fee but suspended \$500 conditioned upon future compliance with the Campaign Finance Disclosure Act and payment of the \$500 is received within 30 days.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1093 for a waiver of the \$2,000 late fee assessed against Mike Davis, a candidate for 19th JDC Judge, in the November 4, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 216 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1094 for a waiver of the \$600 and \$420 late fees assessed against Aisha Clark, a candidate for Monroe City Court Judge, Division C, in the November 4, 2014 election, for filing her EDE-P report 62 days late and EDE-G report 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$1,020 but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board

considered a request in Docket No. 15-1095 for a waiver of the \$2,500 late fee assessed against Richard Perque, a candidate for Traffic Court Judge, Division D, Orleans Parish, in the October 19, 2013 election, for filing his 2014 Supplemental campaign finance disclosure report 196 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1012 for a waiver of the \$800 late fee assessed against Mark Doherty, a candidate for Juvenile Court Judge, Section F, Orleans Parish, in the November 4, 2014 election, for filing his 2014 Supplemental campaign finance disclosure report 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$800 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act. Board Member Bruneau recused himself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 15-1090 for a waiver of the four (4) \$2,000 late fees assessed against E. Adrian Adams, a candidate for 24th JDC Judge, in the November 4, 2014 election, and the Committee to Elect E. Adrian Adams, Judge, for filing his 10-P campaign finance disclosure report 340 days late, EDE-P campaign finance disclosure report 326 days late, 10-G campaign finance disclosure report 314 days late and the 2014 Supplemental campaign finance disclosure report 230 days late. On motion made, seconded and unanimously passed, the Board deferred the matter to the December meeting to allow Mr. Adams to appear.

The Board unanimously agreed to take action on the requests for “good cause” waivers of

late fees assessed against lobbyists included in the Lobbyist Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Lobbyist Waiver Chart taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 15-1081 from Charles Melancon of a \$150 late fee; and,
Docket No. 15-1082 from Leicester Landon of a \$150 late fee.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket No. 15-1096, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 15-1098 from Rachel Fleetwood of a \$1,100 late fee and a \$1,500 late fee;
Docket No. 15-1099 from Chad Danos of a \$300 late fee;
Docket No. 15-1100 from Maxine Weaver of a \$150 late fee; and,
Docket No. 15-1104 from Andrea Chen of a \$1,500 late fee.

The Board considered a request in Docket No. 15-1097 for a waiver of the \$650 late fee assessed against Aaron Linnell "Rudy" Threats, Lake Providence Chief of Police, East Carroll Parish, for filing his amended 2013 Tier 3 Annual personal financial disclosure statement 13 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$650 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-1100 for a waiver of the \$1,500 late fee assessed against Maxine Hilliard Weaver, a member of the Eastern New Orleans Neighborhood Advisory Committee, for filing her 2011 Tier 2.1 Annual personal financial disclosure statement 210 days late. On motion made, seconded and unanimously passed, the Board waived the \$1,500 late fee.

The Board considered a request in Docket No. 15-1101 for a waiver of the \$1,500 late fee assessed against Joseph H. Fraught III, a former member of the Slidell City Council, District D, St. Tammany Parish, for filing his 2014 Tier 3 Annual personal financial disclosure statement 43 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$500 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 15-1102 for a waiver of the \$900 late fee assessed against Vincent Briley Brown, a former member of the Village of Ashland Council, Natchitoches Parish, for filing his 2014 Tier 3 Annual personal financial disclosure statement 18 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$900 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-1103 for a waiver of the \$1,500 late fee assessed against Roland Newton Pippin, a former member of the Northwest Louisiana Human Services District, for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 226 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500

late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 15-1105 for a waiver of the \$400 late fee assessed against Mary Bartholomew, a former member of the Jefferson Parish Workforce Investment Board, for filing her 2014 Tier 2.1 Annual personal financial disclosure statement 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 14-263 for a waiver of the \$1,400 late fee assessed against D. Nicole Sheppard, a candidate for Judge, Traffic Court, Division D, Orleans Parish in the October 19, 2013 election, for filing her 10-G campaign finance disclosure report 14 days late. On motion made, seconded and unanimously passed, the Board waived the \$1,400 late fee due the medical condition of Ms. Sheppard's report preparer.

The Board considered a request for reconsideration in Docket No. 15-676 for a waiver of the \$1,500 late fee assessed against Charles Richard Byars for failure to timely file a Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Lobbyist Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board unanimously adjourned at 12:52 p.m.

Secretary

APPROVED:

Chairman

